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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

H. MATSUI et al.

Serial No.: 09/868,620

Filed: June 25, 2001

For: AMORPHOUS NANO-SCALE CARBON  
TUBE AND PRODUCTION

#3

Group Art Unit: Unassigned

Examiner: To be assigned

**INFORMATION DISCLOSURE STATEMENT**  
**PURSUANT TO 37 CFR 1.97(b)**

RECEIVED  
SEP 17 2001  
TC 1700

Commissioner for Patents  
Washington, D.C. 20231

August 2, 2001

Sir:

The attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached Form PTO-1449. One copy of each of these documents is attached along with a European Search Report.

No fee or certification is required in connection with this Information Disclosure Statement, since it is being submitted prior to the issuance of a first official action on the merits or expiration of the three month period following the filing date or the entry of the national stage of the above-captioned application.

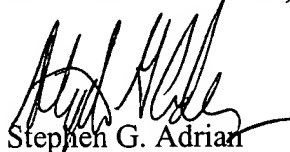
The above information is presented so that the Patent and Trademark Office can, in the first instance, determine any materiality thereof to the claimed invention. See 37 CFR 1.104(a) concerning the PTO duty to consider and use any such information. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the

documents cited in the attached Form PTO-1449 be made of record therein and appear on the first page of any patent to issue therefrom.

The Commissioner is authorized to charge our Deposit Account No. 01-2340 for any fee which is deemed by the Patent and Trademark Office to be required to effect consideration of this statement.

Respectfully submitted,

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Enclosures: International Search Report  
Translation of PCT/IPEA/409  
PTO-1449 w/10 refs.